

SERBIAN ACADEMY OF NONLINEAR SCIENCES

<http://www.sann.kg.ac.rs/en/sans/>

3rd CONFERENCE ON NONLINEARITY

4 – 8.09.2023, Belgrade, Serbia

Nonlinear metaphotonics

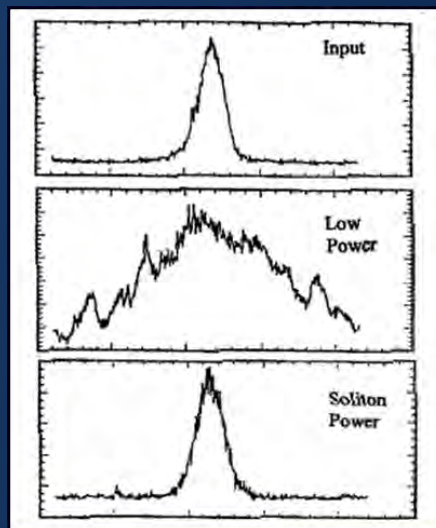
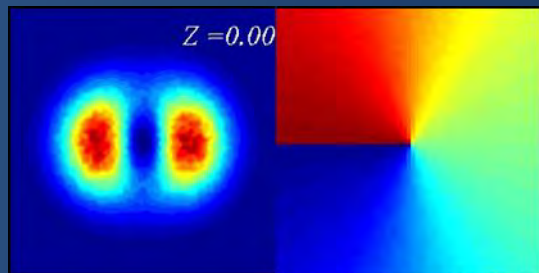
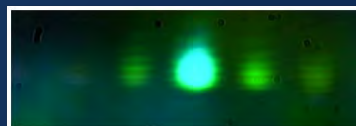
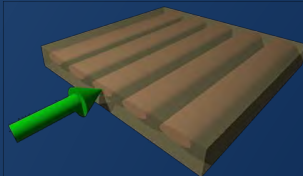
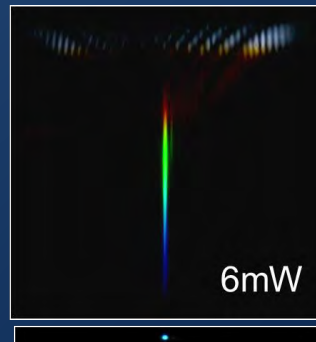
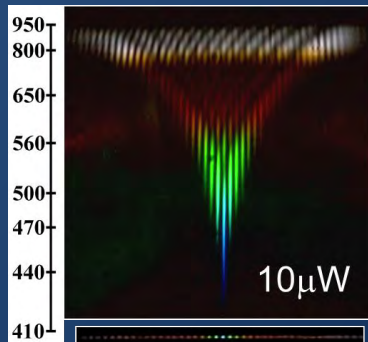
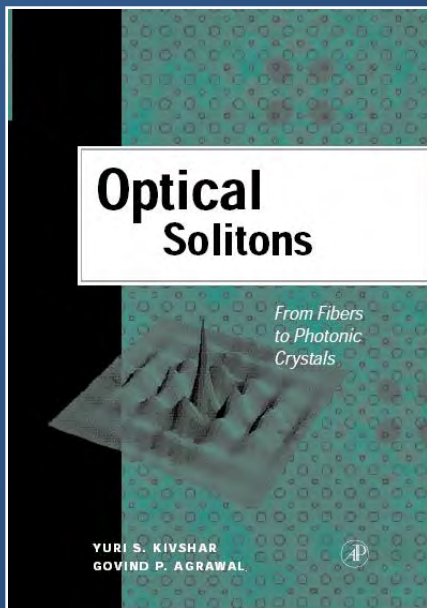
Yuri Kivshar



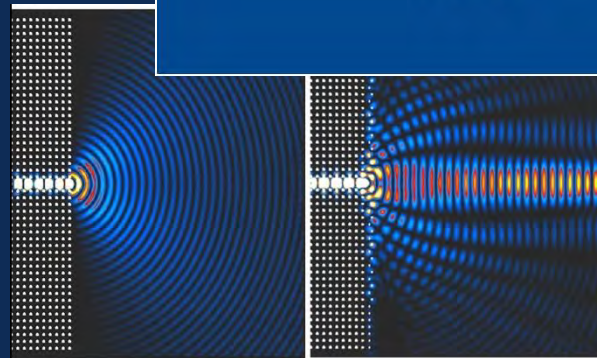
Australian
National
University

From solitons to metamaterials

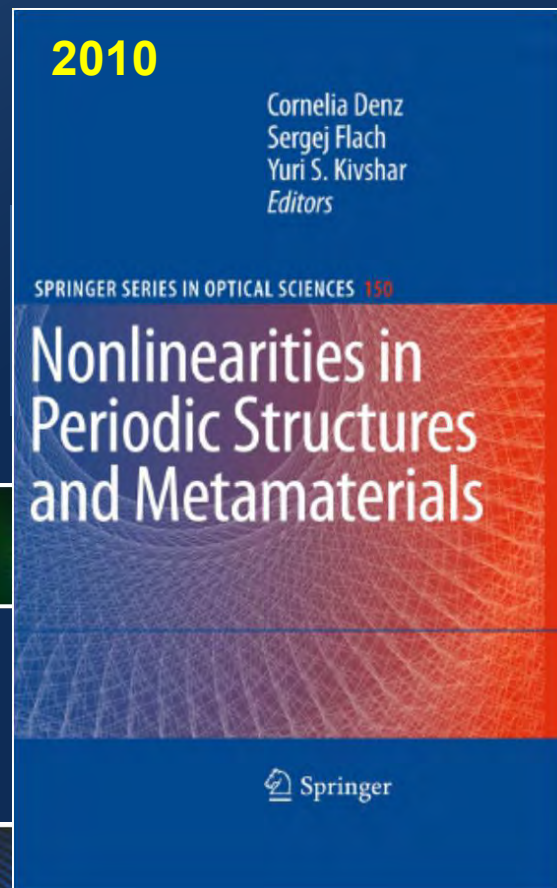
1993-2000



2005



2010



INTERVIEW

Journey from solitons to nanophotonics: an interview with Professor Yuri Kivshar

Guoqing Chang*

Institute of Physics, Chinese Academy of Sciences, China



Professor Yuri Kivshar, Australian National University, Australia

Guoqing Chang: When did you first hear about nonlinear optics?

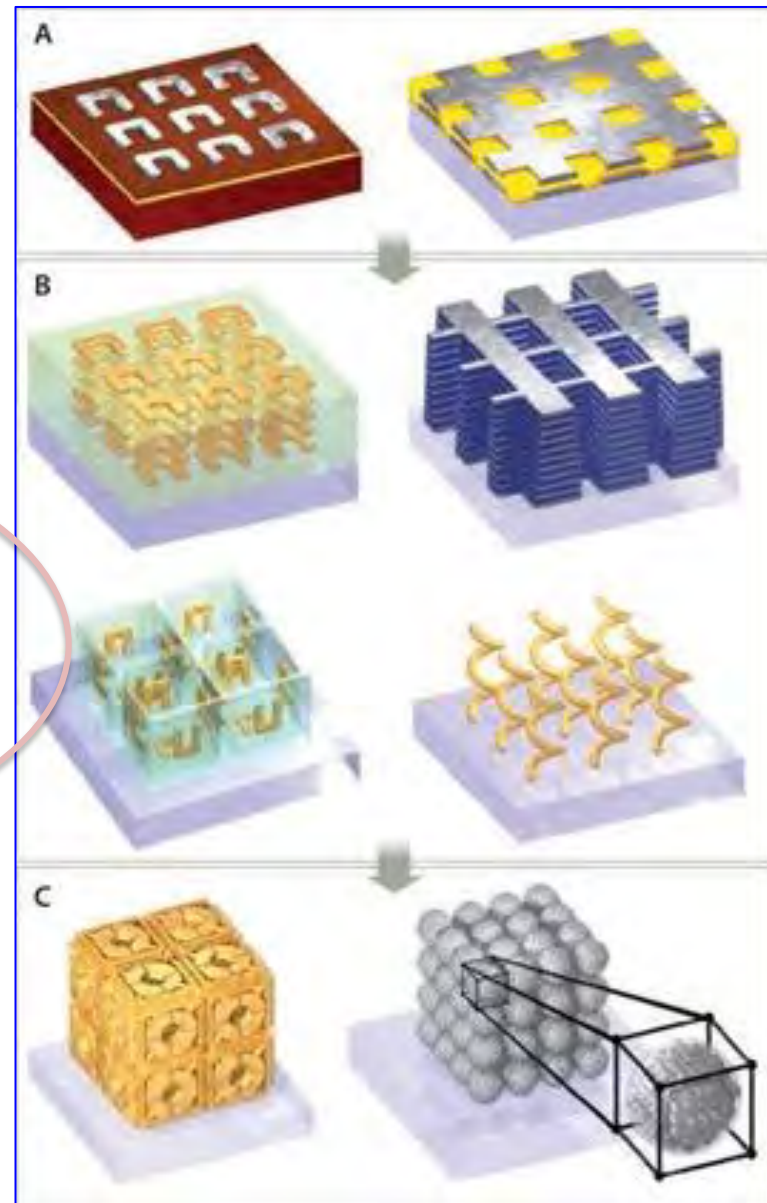
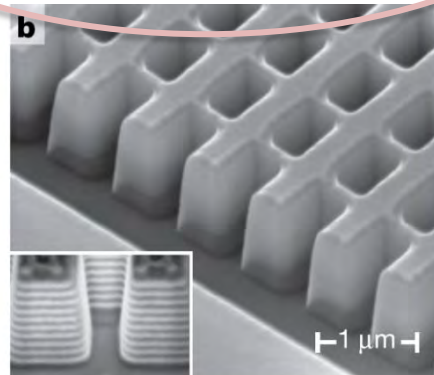
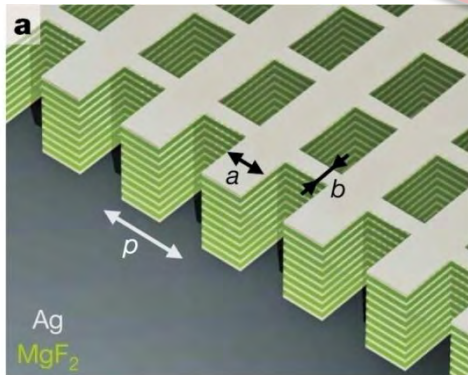
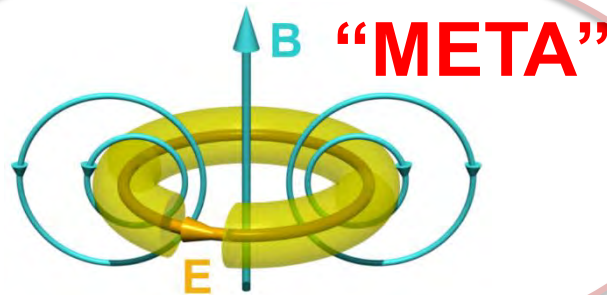
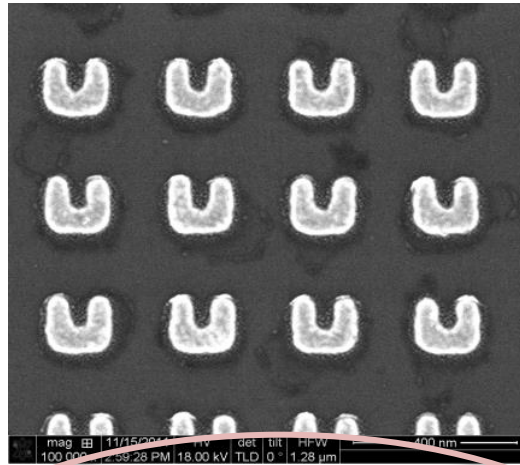
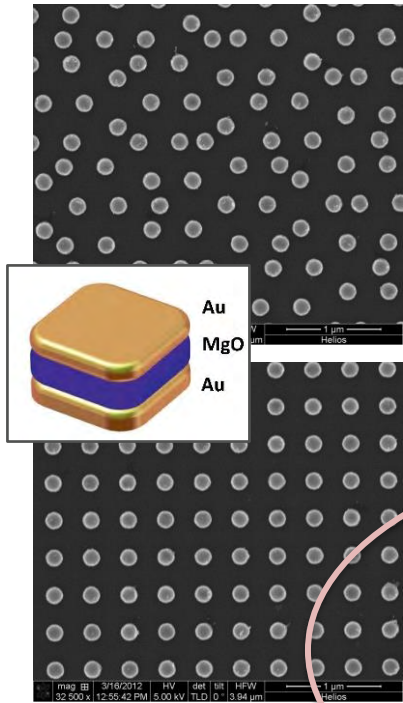
Yuri Kivshar: My course had about 100 students who were associated with different departments, including Department of Theoretical Physics and Department of Optics. I was in the Department of Theoretical Physics, and I did not know nonlinear optics at all when I started my research on solitons with Professor Kosevich. It had nothing to do with optics, and we never discussed optics.

Once I went for lunch with some of my classmates from the Department of Optics. One of them told me his research in optics was boring. I asked him why he went there in the first place. He told me that he initially expected to work on nonlinear optics. That was my first time to hear of nonlinear optics. I asked him what nonlinear optics is and he said, "It's about nonlinear effects such as frequency generation, all this nice stuff." And I thought, "Wow, it's probably what may be related to solitons."

Guoqing Chang: How did you move into the soliton research in the context of nonlinear optics?

Yuri Kivshar: Solid state physics is a difficult field because it is not

From microwaves to optics

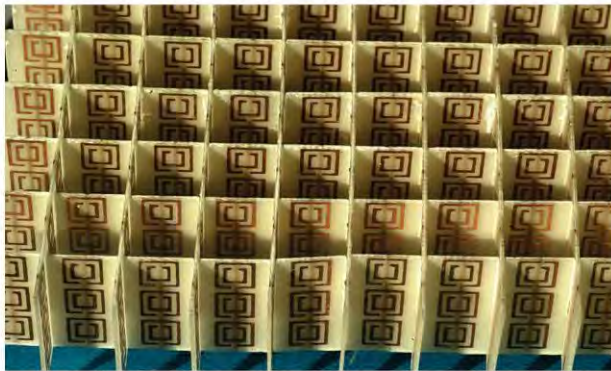


Milestones of electromagnetic metamaterials

Beyond Materials

OPN, May 2021

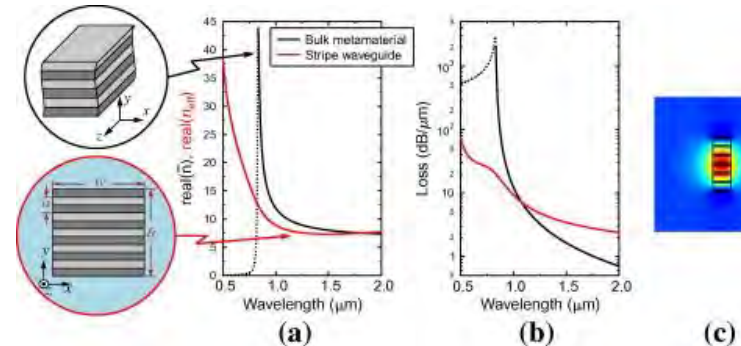
An obscure paper theorizing negative-index materials was resurrected 30 years later as researchers began to engineer materials to manipulate electromagnetic waves.



A split-ring structure negative-index material, as theorized by Veselago.
Courtesy of D.R. Smith

1967: Going negative

All known, natural materials have a positive index of refraction, which indicates how much light bends when it enters a substance. But what if, asked Russian physi-



Sir John Pendry
P. Henning/NTNU, CC-BY-2.0



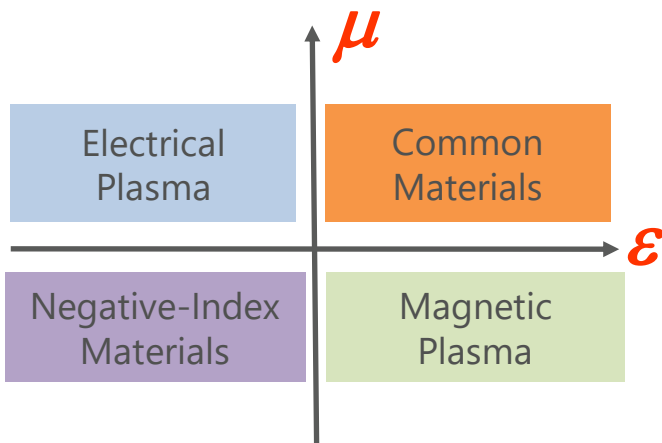
David R. Smith
CMIP, Duke University

1999: Demonstrating metamaterials

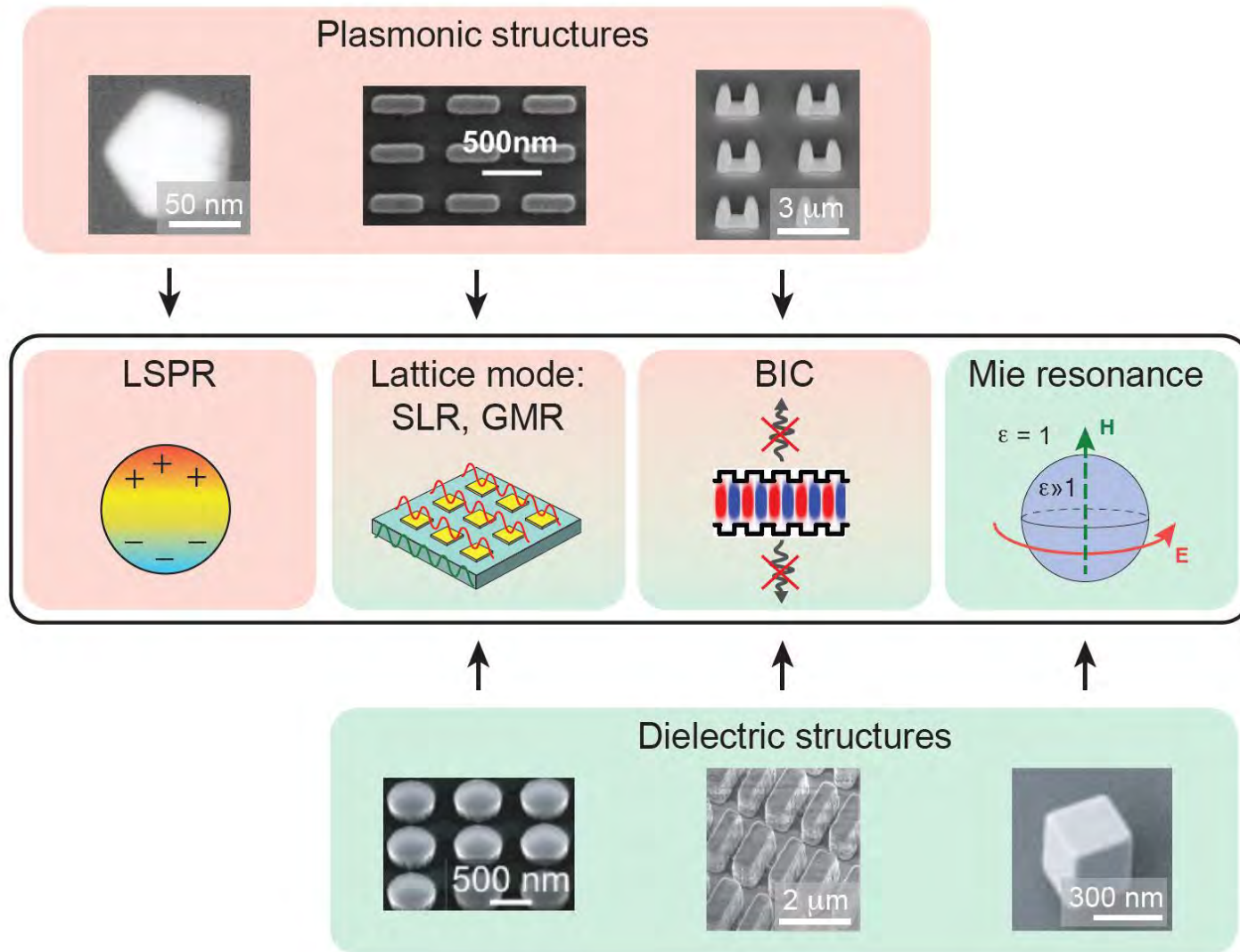
Metamaterials are engineered to have properties that are not found in nature; the key to these properties is within the material's microstructure—which must be finer than the electromagnetic wavelengths concerned—rather than their chemistry. British physicist Sir John Pendry designed such materials with negative electrical permittivity and negative magnetic permeability using loops of wire and split-ring resonators in the 1990s. This demonstration of artificial magnetism, the key ingredient of the negative-index response, launched the field.

2006: A microwave "invisibility cloak"

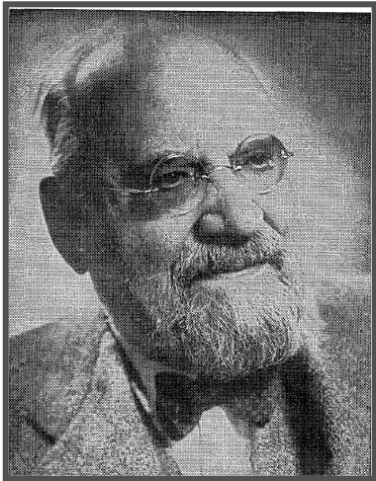
In 2000, U.S. physicist David R. Smith demonstrated a negative-index material at microwave frequencies, later unearthing Veselago's 1967 paper. He then teamed up with Pendry and developed the theory of transformation optics (also pioneered by Ulf Leonhardt), which described how metamaterials could manipulate light. In 2006, the team demonstrated an invisibility cloak of sorts—bending microwaves around an object. The proof of concept spurred a flurry of research into how to scale microwave metamaterial designs to optical frequencies.



Resonances in metaphotonics



1908: Mie theory



Gustav Mie

$$x = \frac{2\pi r}{\lambda}$$

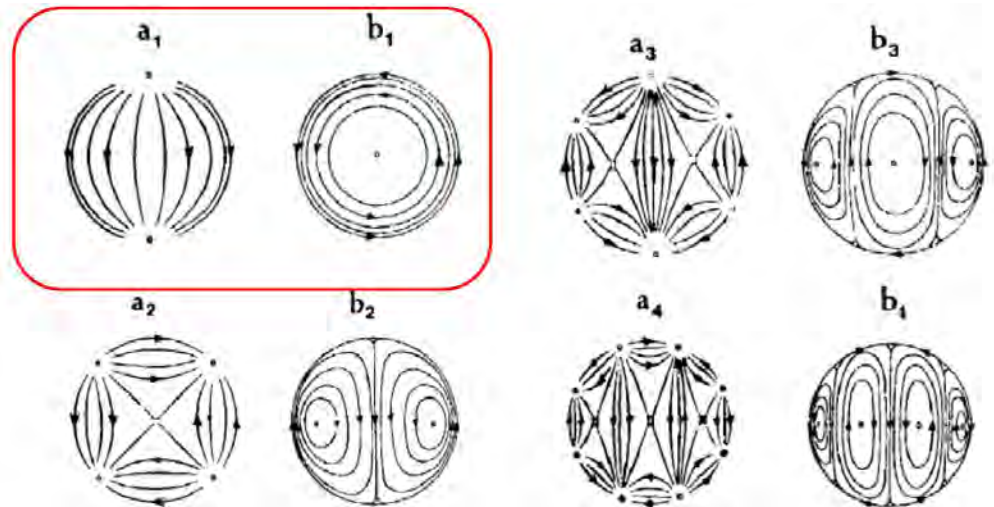
- $x \ll 1$: Rayleigh scattering
- $x \sim 1$: Mie scattering
- $x \gg 1$: Geometric scattering

$$\mathbf{E}_r = E_0 e^{-i\omega t} \sum_{n=1}^{\infty} i^n \frac{2n+1}{n(n+1)} (a_n^r \mathbf{m}_{o1n}^{(3)} - i b_n^r \mathbf{n}_{e1n}^{(3)}),$$

$$\mathbf{H}_r = -\frac{k_2}{\omega \mu_2} E_0 e^{-i\omega t} \sum_{n=1}^{\infty} i^n \frac{2n+1}{n(n+1)} (b_n^r \mathbf{m}_{e1n}^{(3)} + i a_n^r \mathbf{n}_{o1n}^{(3)}),$$

$$a_n^r = -\frac{\mu_1 j_n(N\rho) [\rho j_n(\rho)]' - \mu_2 j_n(\rho) [N\rho j_n(N\rho)]'}{\mu_1 j_n(N\rho) [\rho h_n^{(1)}(\rho)]' - \mu_2 h_n^{(1)}(\rho) [N\rho j_n(N\rho)]'}$$

$$b_n^r = -\frac{\mu_1 j_n(\rho) [N\rho j_n(N\rho)]' - \mu_2 N^2 j_n(N\rho) [\rho j_n(\rho)]'}{\mu_1 h_n^{(1)}(\rho) [N\rho j_n(N\rho)]' - \mu_2 N^2 j_n(N\rho) [\rho h_n^{(1)}(\rho)]'}$$



1908.

Nº 3.

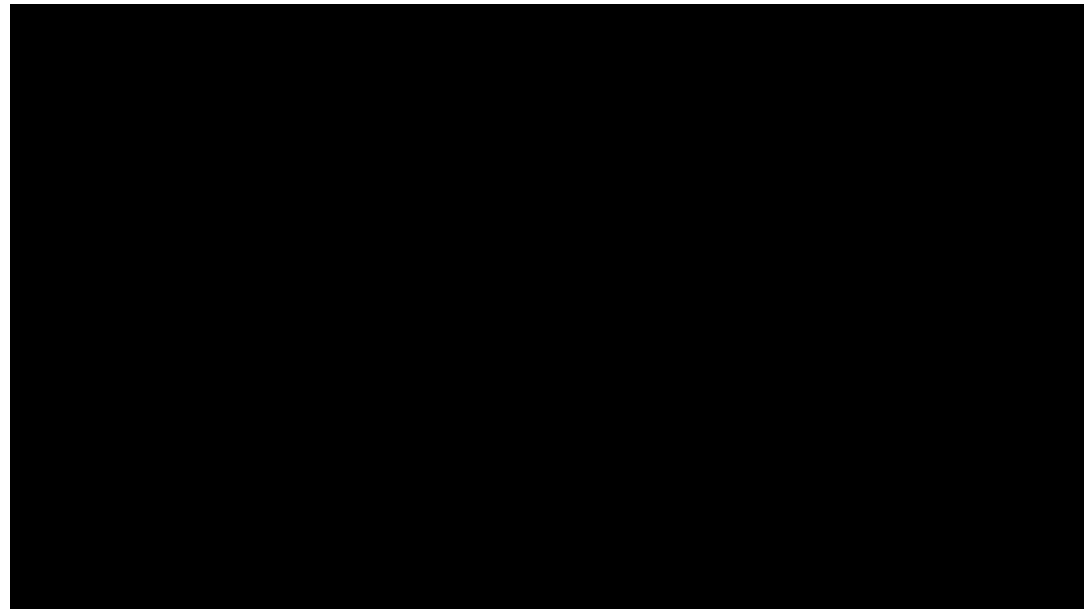
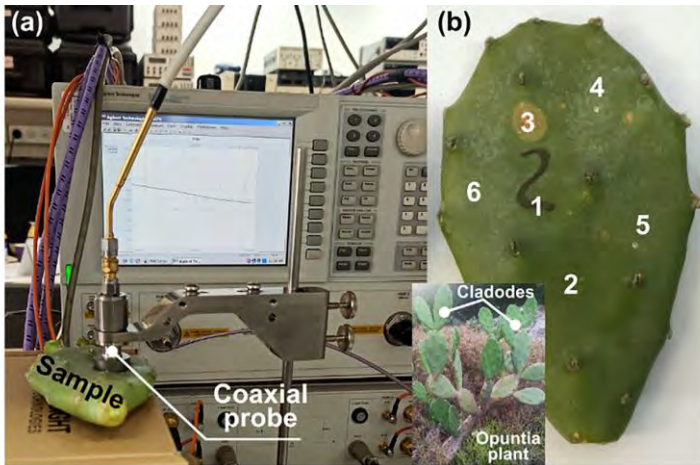
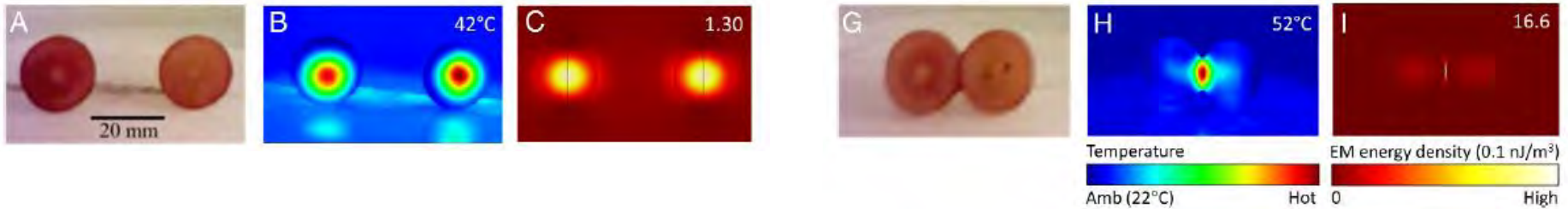
ANNALEN DER PHYSIK.

VIERTE FOLGE. BAND 25.

1. Beiträge zur Optik trüber Medien, speziell kolloidaler Metallösungen; von Gustav Mie.

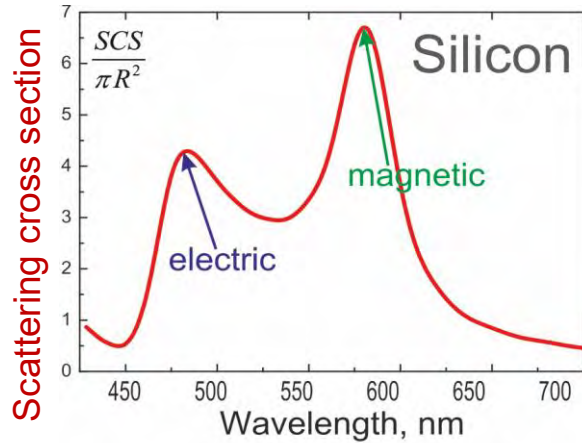
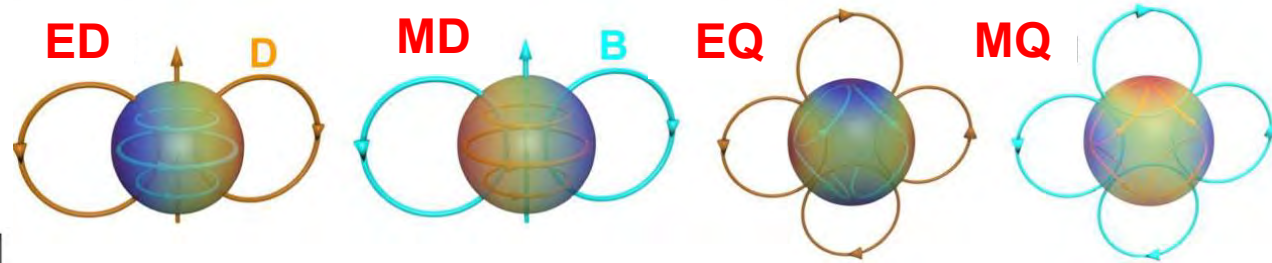
Mie resonances at home

H. Khattak et al, PNAS 116, 4000 (2019)

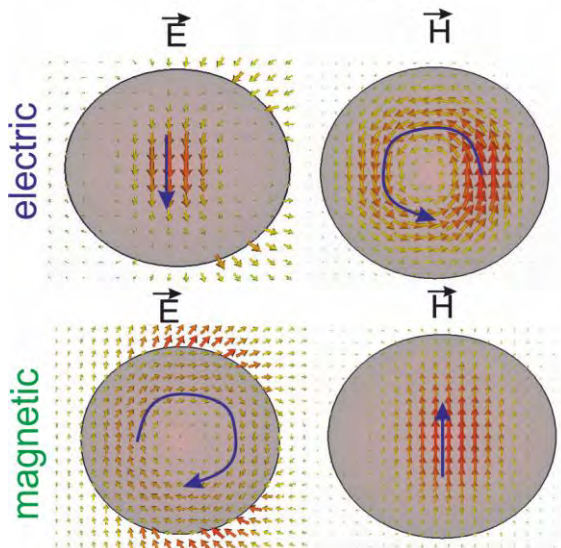


Pavel Ginzburg' group:
Appl. Phys. Lett. **120**, 053301 (2022)

Multipolar response



- Enhancement of **nonlinear effects** near Mie resonances
- Multipolar interferences and **Kerker effects**
- High-Q resonances: **bound states in the continuum**



Bound state in the continuum (BIC)



J. von Neumann



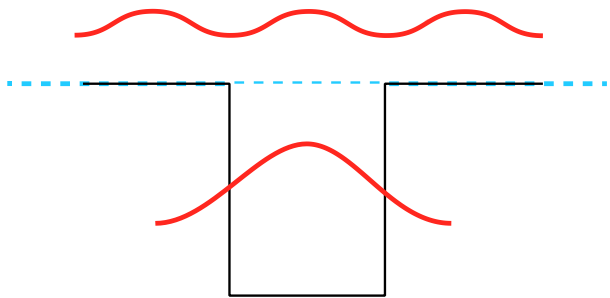
E.P. Wigner

Über merkwürdige diskrete Eigenwerte

J. von Neumann and E. P. Wigner

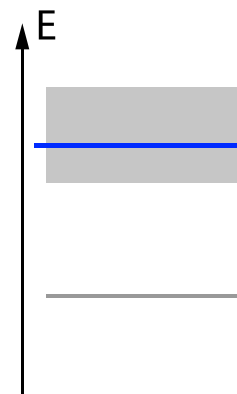
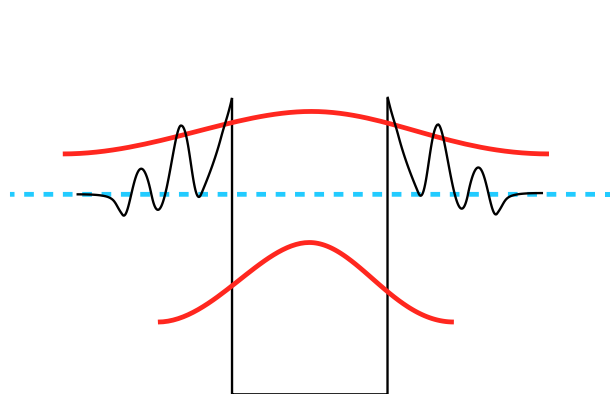
Physikalische Zeitschrift 30, 465–467 (1929)

1929



Continuum

Discrete level



Bound state in the
continuum

Discrete level

Bound states in the continuum in optics

2011 Arrays of coupled waveguides

PRL 107, 183901 (2011)

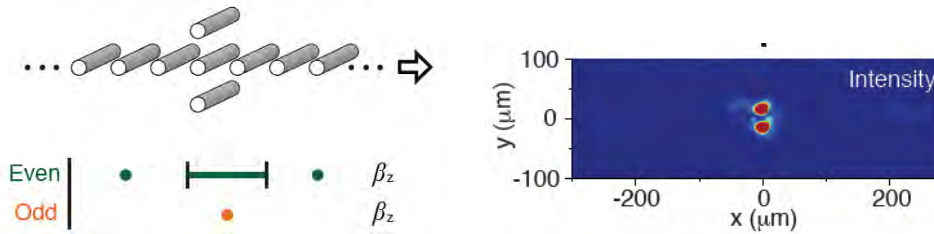
PHYSICAL REVIEW LETTERS

week ending
28 OCTOBER 2011

Experimental Observation of Optical Bound States in the Continuum

Yonatan Plotnik,¹ Or Peleg,¹ Felix Dreisow,² Matthias Heinrich,² Stefan Nolte,²
Alexander Szameit,¹ and Mordechai Segev¹

Coupled waveguide array



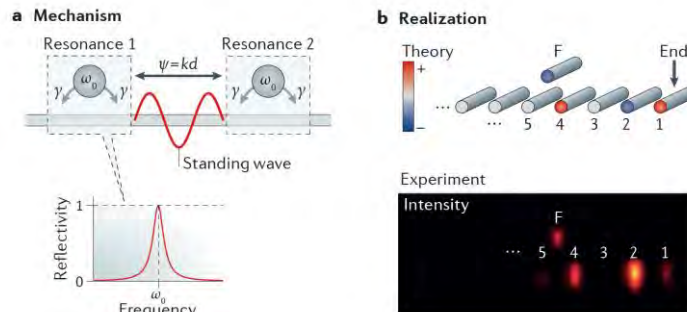
PRL 111, 240403 (2013)

PHYSICAL REVIEW LETTERS

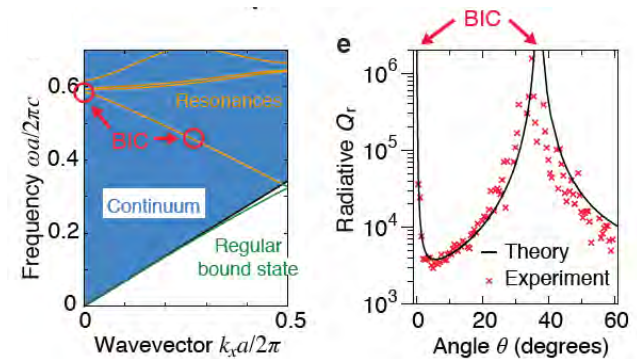
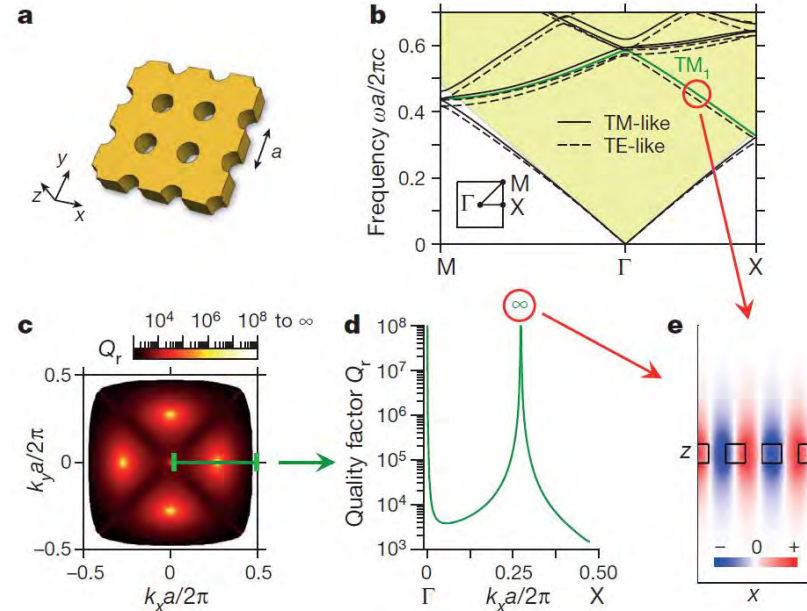
week ending
13 DECEMBER 2013

Compact Surface Fano States Embedded in the Continuum of Waveguide Arrays

Steffen Weimann,¹ Yi Xu,² Robert Keil,¹ Andrey E. Miroshnichenko,² Andreas Tünnermann,¹ Stefan Nolte,¹
Andrey A. Sukhorukov,² Alexander Szameit,¹ and Yuri S. Kivshar²



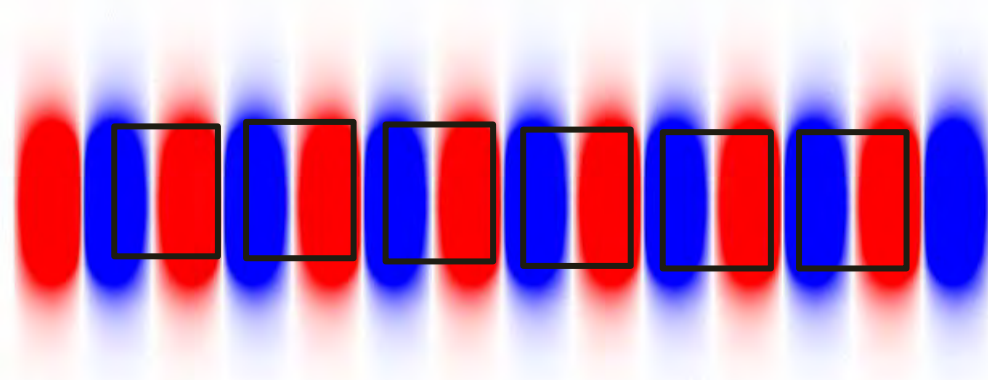
2013 Photonic crystal slabs



Hsu et al, Nature 2013

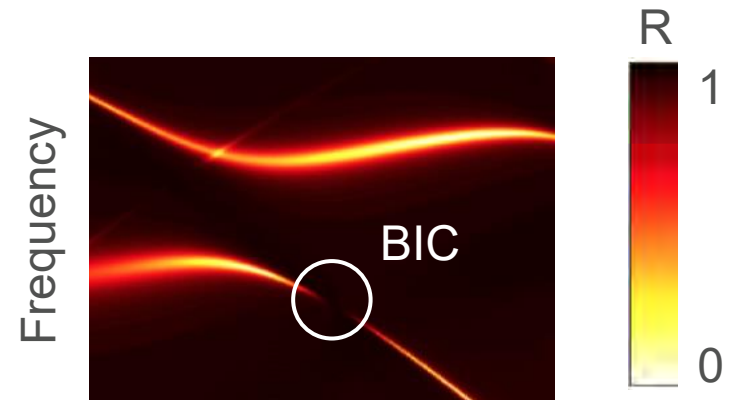
Classification of BICs

Symmetry-protected (conventional)



in-plane inversion symmetry
time reversal symmetry

Accidental (Friedrich-Wintgen)



System parameters
in-plane inversion symmetry
time reversal symmetry
up-down symmetry

PHYSICAL REVIEW A

VOLUME 32, NUMBER 6

DECEMBER 1985

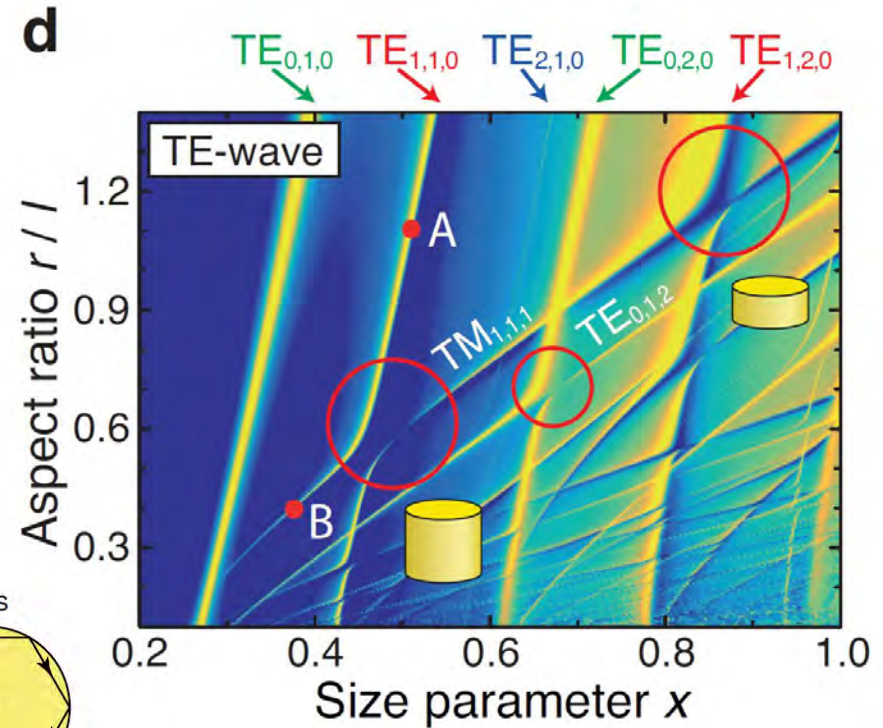
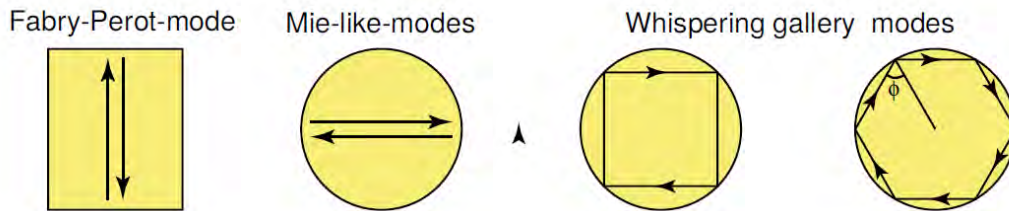
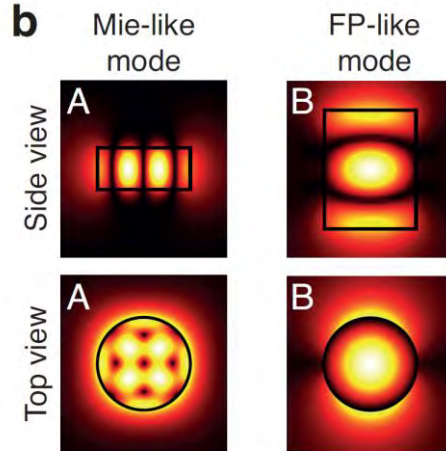
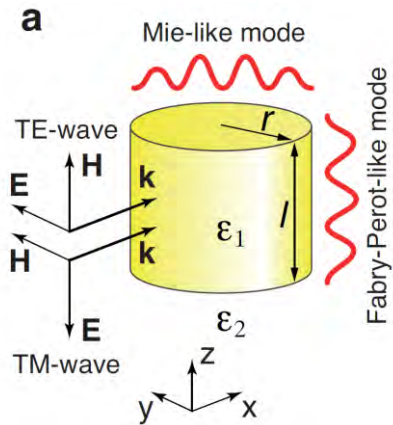
Interfering resonances and bound states in the continuum

H. Friedrich and D. Wintgen

Physik Department, Technische Universität München, D-8046 Garching, West Germany

(Received 24 June 1985)

BIC in a subwavelength resonator



PRL **119**, 243901 (2017)

PHYSICAL REVIEW LETTERS

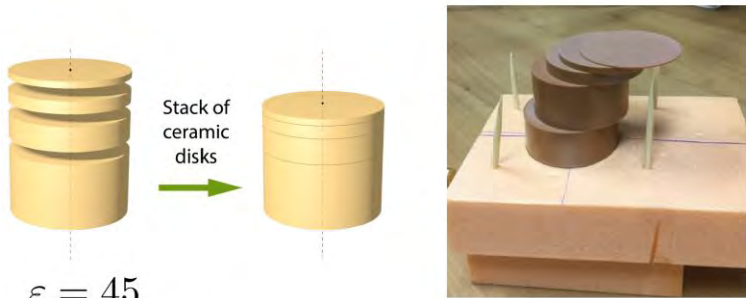
week ending
15 DECEMBER 2017

High- Q Supercavity Modes in Subwavelength Dielectric Resonators

Mikhail V. Rybin,^{1,2,*} Kirill L. Koshelev,^{1,2} Zarina F. Sadrieva,² Kirill B. Samusev,^{1,2} Andrey A. Bogdanov,^{1,2}
Mikhail F. Limonov,^{1,2} and Yuri S. Kivshar^{2,3}

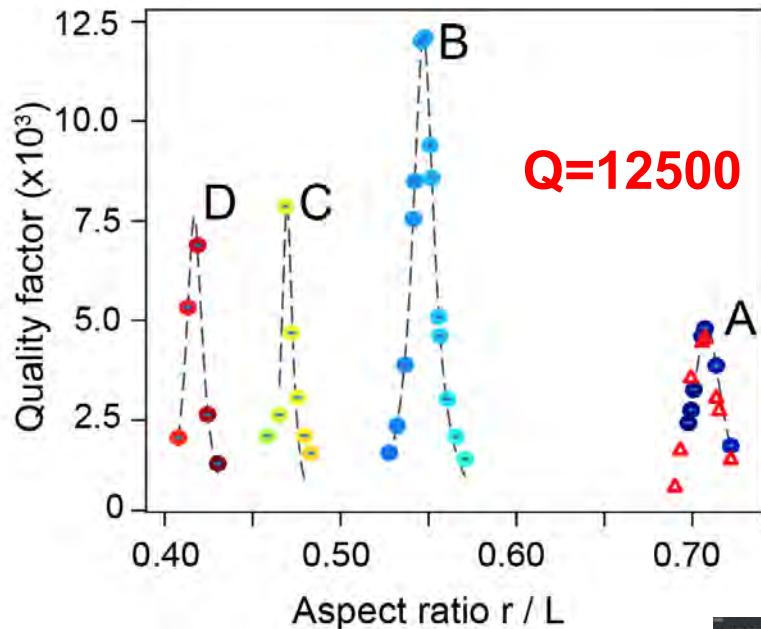
Recent experimental demonstrations

RF experiment

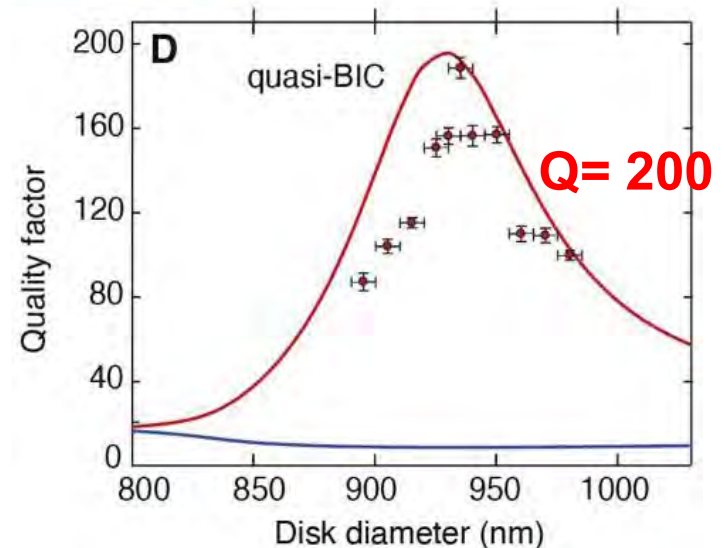
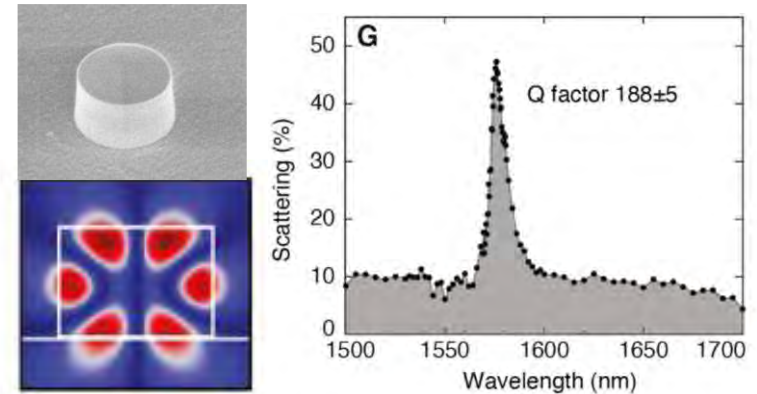


$$\epsilon = 45$$

$$\tan \delta = 10^{-4}$$



Near-IR experiment



Observation of Supercavity Modes in Subwavelength Dielectric Resonators

Mikhail Odit, Kirill Koshelev, Sergey Gladyshev, Konstantin Ladutenko, Yuri Kivshar,* and Andrey Bogdanov*

ADVANCED
MATERIALS

RESEARCH

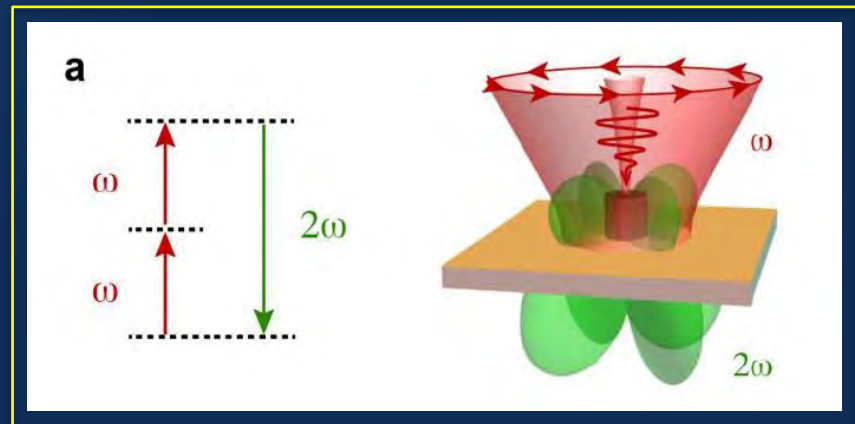
OPTICS

Subwavelength dielectric resonators for nonlinear nanophotonics

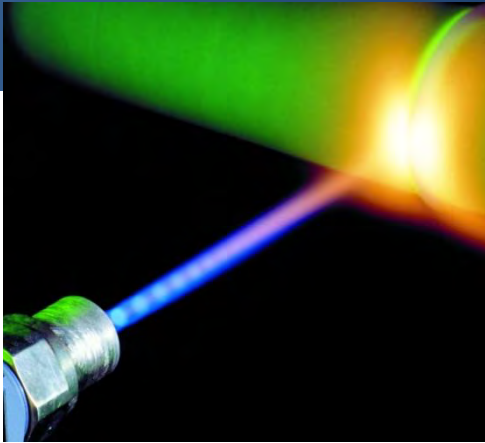
Kirill Koshelev^{1,2}, Sergey Kruk¹, Elizaveta Melik-Gaykazyan^{1,3}, Jae-Hyuck Choi⁴, Andrey Bogdanov², Hong-Gyu Park^{4,5}, Yuri Kivshar^{1,2,*}

Science
AAAS

Nonlinear nanophotonics



Nonlinear optics



1958-60: invention of the laser

1964: Townes, Basov and Prokhorov shared the **Nobel prize** for their fundamental work leading to the construction of lasers

1981: Bloembergen and Schawlow received the **Nobel prize** for their contribution to the development of laser spectroscopy. One typical application of this is *nonlinear optics* which means methods of influencing one light beam with another and permanently joining several laser beams



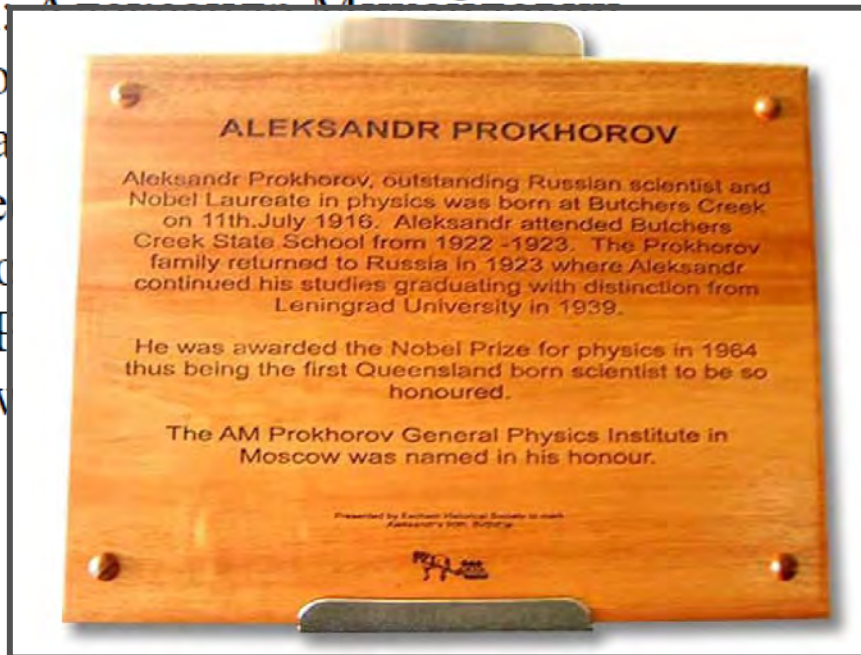
Nicolaas
Bloembergen



Arthur Leonard
Schawlow

Alexander Mikhaylovich Prokhorov

(Russian: Александр Михайлович Прόхоров, 1916–2002) was his pioneer masers for Prize in F Hard Tow



LASERS & SOURCES

Meet Alexander Prokhorov: the Australian-born co-inventor of the laser and Nobel Prize winner

While he is a celebrated scientific hero in Russia, his formative years were actually spent in far north Queensland.

Aug. 4, 2016 

Optical polarisation

$$\mathbf{P} = \varepsilon_0 \left(\underset{\mathbf{1}}{\chi^{(1)} \cdot \mathbf{E}} + \underset{\mathbf{2}}{\chi^{(2)} : \mathbf{E}\mathbf{E}} + \underset{\mathbf{3}}{\chi^{(3)} : \mathbf{E}\mathbf{E}\mathbf{E}} + \dots \right)$$

- $\chi^{(j)}$ ($j=1,2,\dots$) is j -th order susceptibility;
- $\chi^{(j)}$ is a tensor of rank $j+1$;
- for this series to converge $\chi^{(1)}E \gg \chi^{(2)}E^2 \gg \chi^{(3)}E^3$
- $\chi^{(1)}$ is the linear susceptibility (dominant contribution). Its effects are included through the refractive index (real part) and the absorption α (imaginary part).
- $\chi^{(2)}$ is the nonlinear **quadratic** susceptibility (SHG)
- $\chi^{(3)}$ is the nonlinear **cubic** susceptibility (solitons, modulational instability)

Towards efficient nonlinear nanoantennas

Materials

Metals



High $\chi^{(2)}$ and $\chi^{(3)}$
High light confinement



Absorption
Small mode volume

SHG/THG Efficiency 10^{-9} , 10^{-10}
 10^{-7} (Dangyuan Lei, CityU)

Si, Ge



High $\chi^{(3)}$

THG Efficiency 10^{-6}

III-V semiconductors



High $\chi^{(2)}$

SHG Efficiency $>10^{-4}$

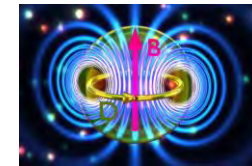
Resonant modes

$$\text{SHG} \sim \kappa Q_{\omega}^2 Q_{2\omega}$$

$$\text{THG} \sim \kappa Q_{\omega}^3 Q_{3\omega}$$

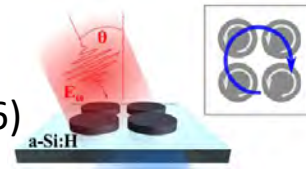
MD resonance

Shcherbakov et al
Nano Lett. (2014)



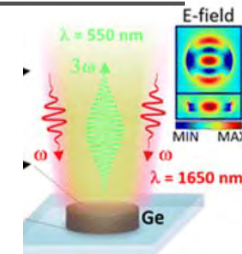
Fano effect

Shorokhov et al
Nano Lett. (2016)



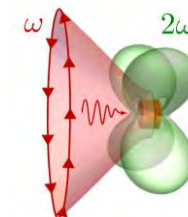
Anapole state

Grinblat et al
Nano Lett. (2016)



BIC

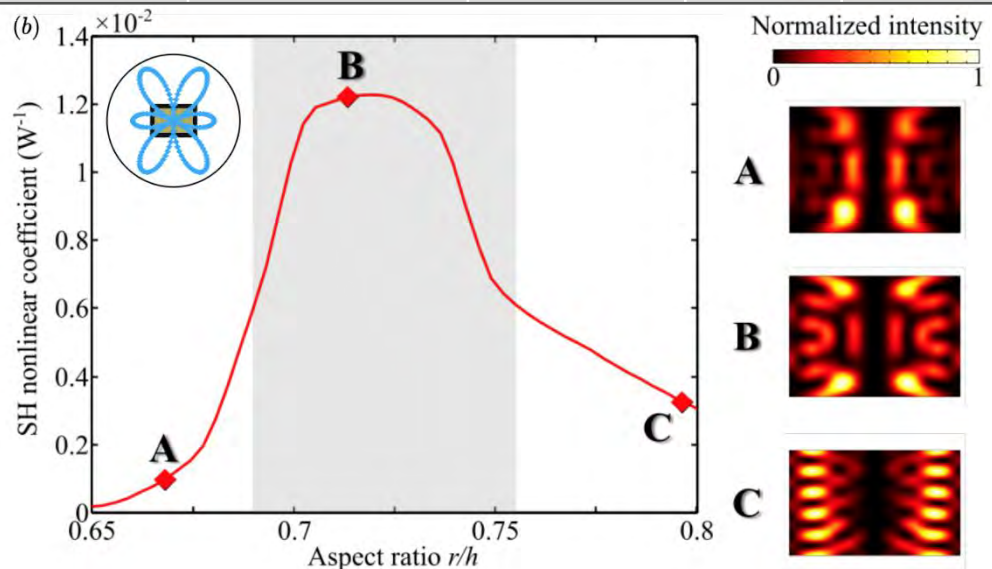
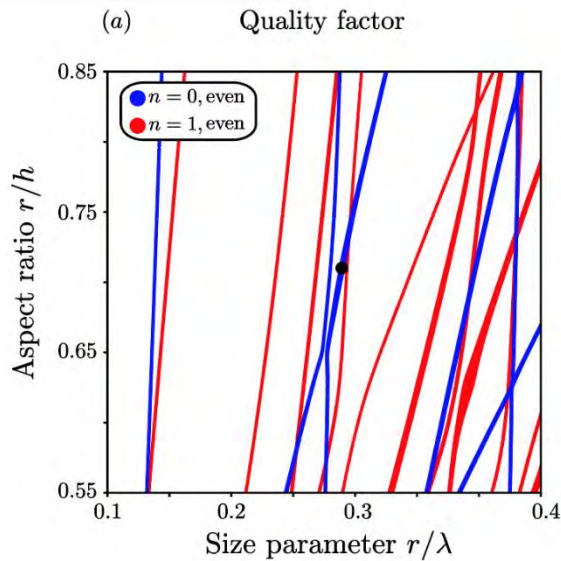
Carletti et al,
Phys Rev Lett (2018)



Nonlinear response of quasi-BIC states



Mode	Polarization	$\rho_{\text{SH}} \times 10^4$ (W ⁻¹)	η	λ_{FF} (μm)	Q
BIC	Azimuthal	210	0.77	1.55	114
BIC	Linear	270	0.06	1.55	114
MD	Linear	1.8	0.84	2.98	10

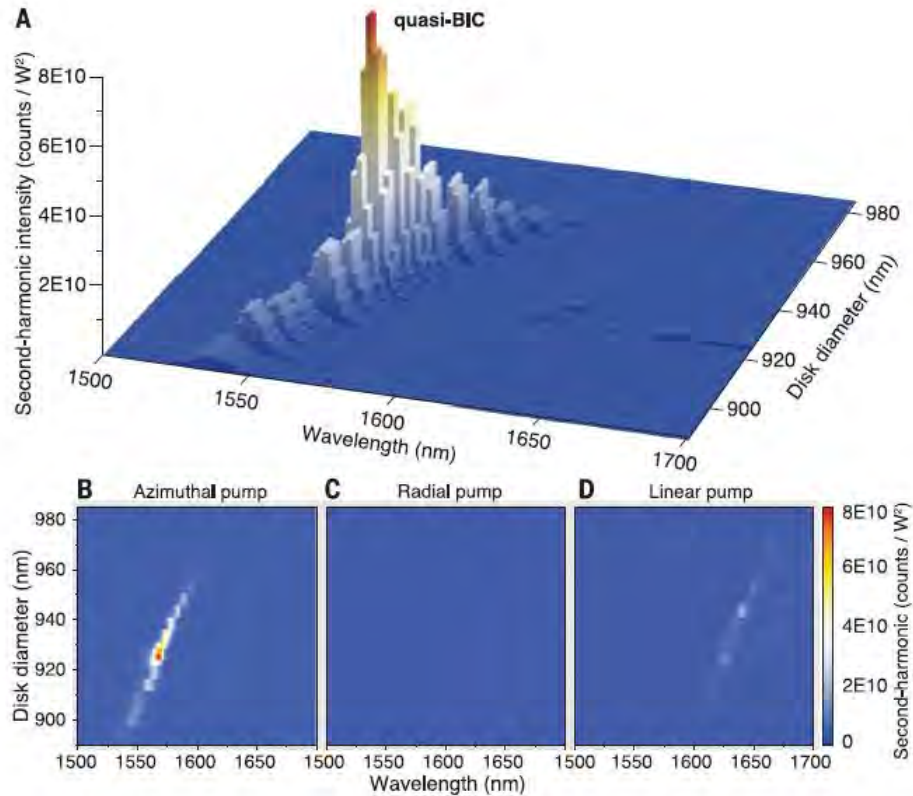
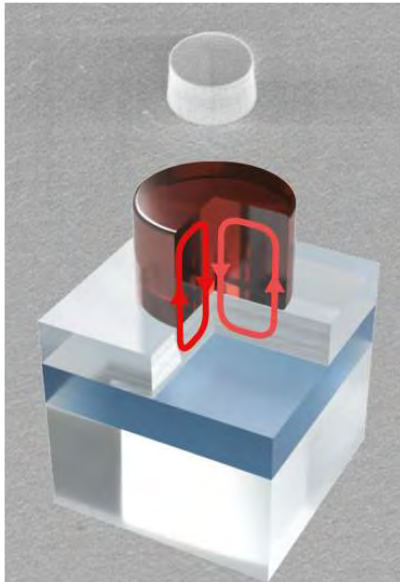


PHYSICAL REVIEW LETTERS **121**, 033903 (2018)

Giant Nonlinear Response at the Nanoscale Driven by Bound States in the Continuum

Luca Carletti,¹ Kirill Koshelev,^{2,3} Costantino De Angelis,¹ and Yuri Kivshar^{2,3}

SHG from quasi-BIC states



RESEARCH

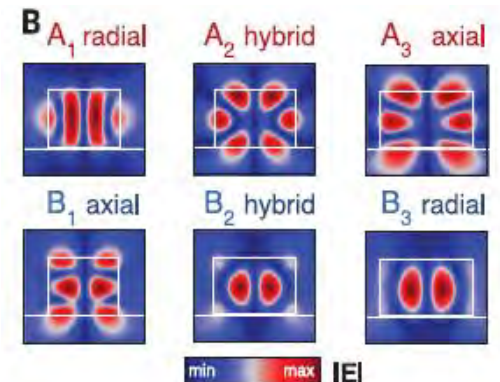
Science **367**, 288 (2020)



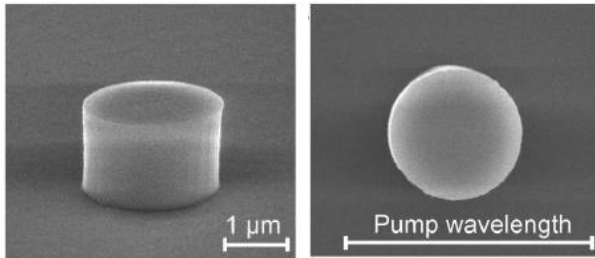
OPTICS

Subwavelength dielectric resonators for nonlinear nanophotonics

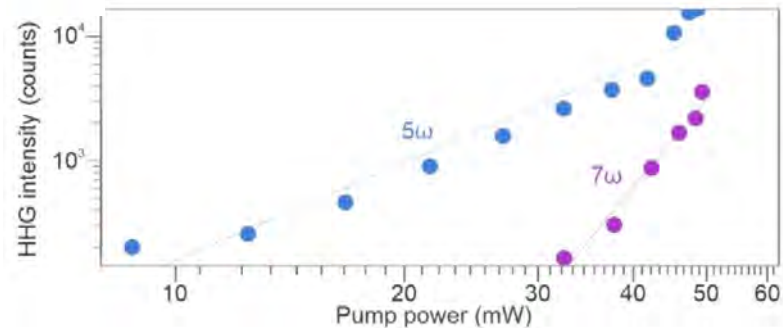
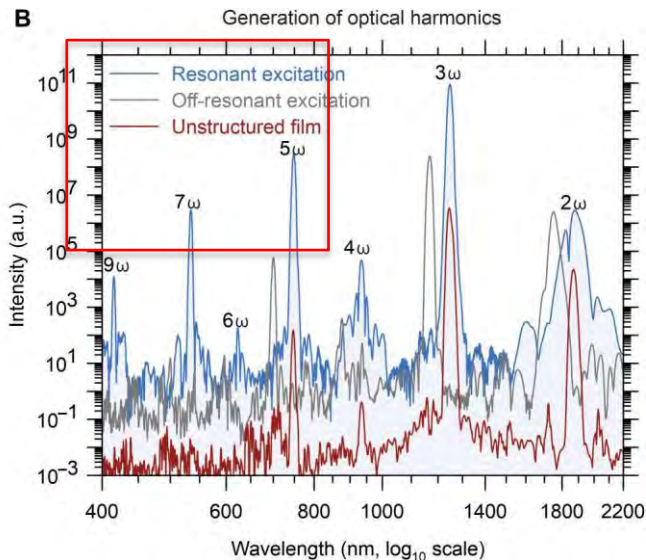
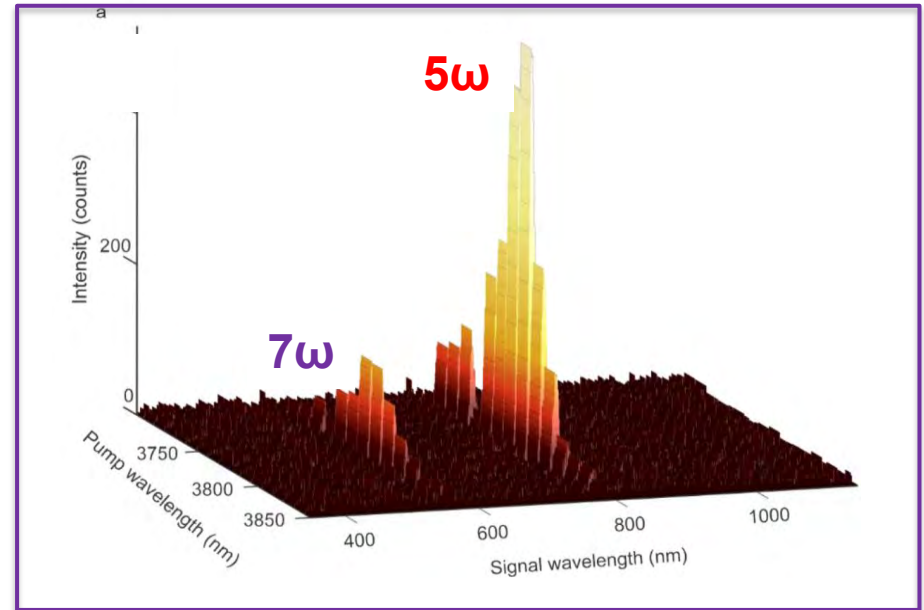
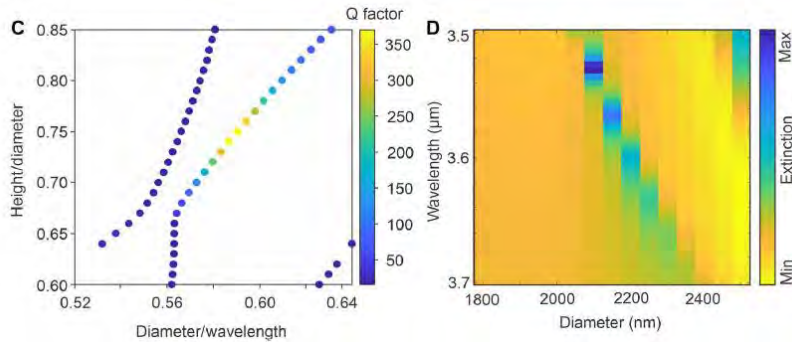
Kirill Koshelev^{1,2}, Sergey Kruk¹, Elizaveta Melik-Gaykazyan^{1,3}, Jae-Hyuck Choi⁴, Andrey Bogdanov², Hong-Gyu Park^{4*}, Yuri Kivshar^{1,2*}



High-harmonic generation



AlGaAs



SCIENCE ADVANCES | RESEARCH ARTICLE

2023

APPLIED PHYSICS

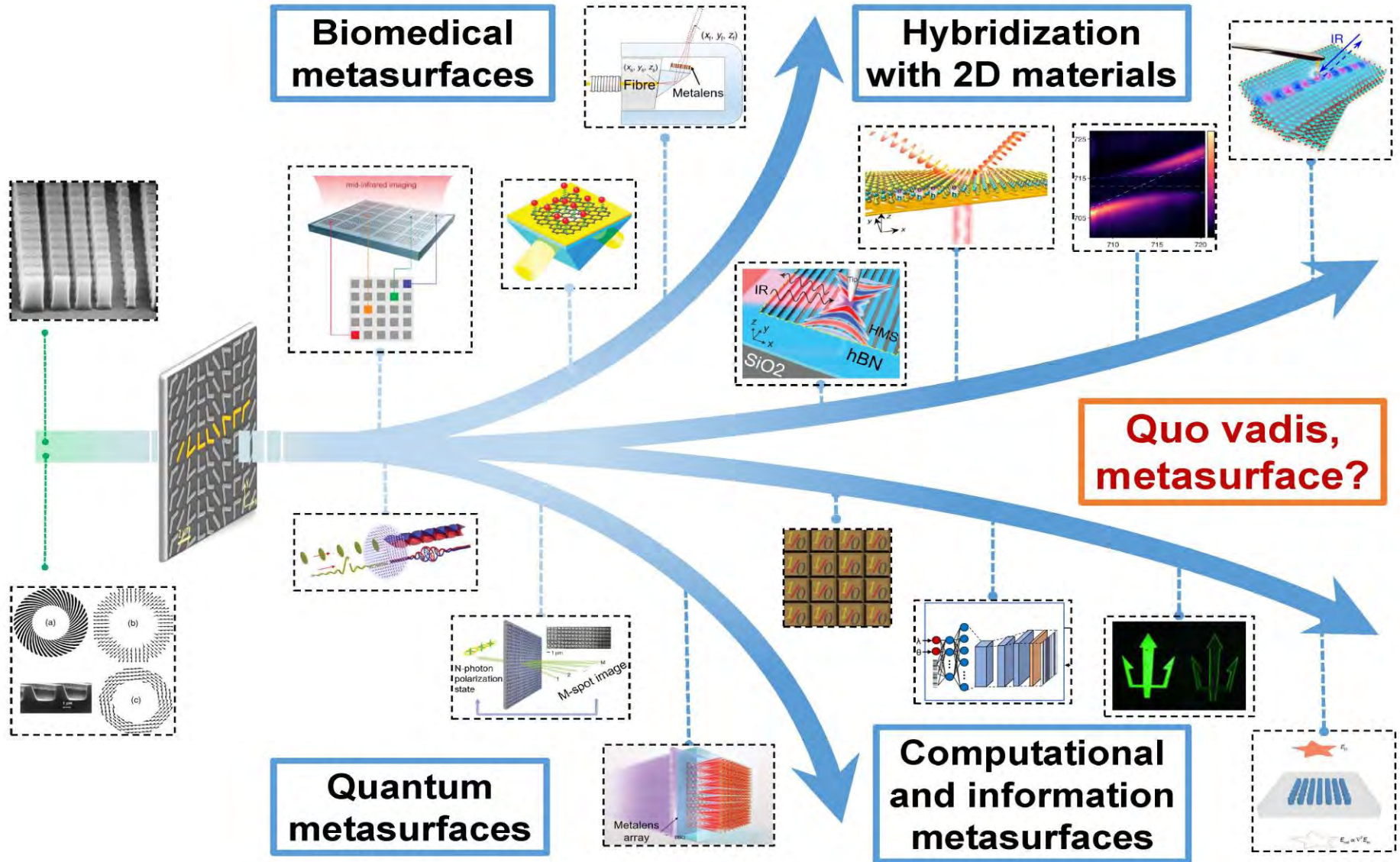
High-harmonic generation from a subwavelength dielectric resonator

Anastasiia Zalogina^{1,2*}, Luca Carletti³, Anton Rudenko⁴, Jerome V. Moloney⁴, Aditya Tripathi¹, Hoo-Cheol Lee⁵, Ilya Shadrivov¹, Hong-Gyu Park⁵, Yuri Kivshar^{1*}, Sergey S. Kruk^{1*}

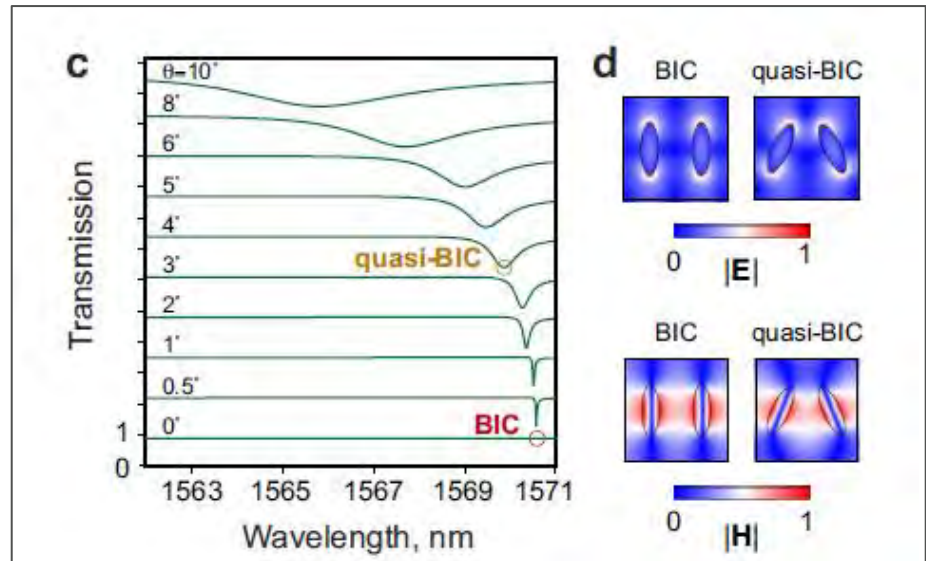
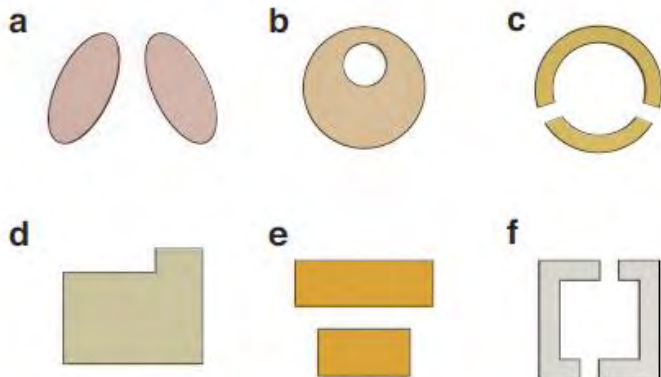
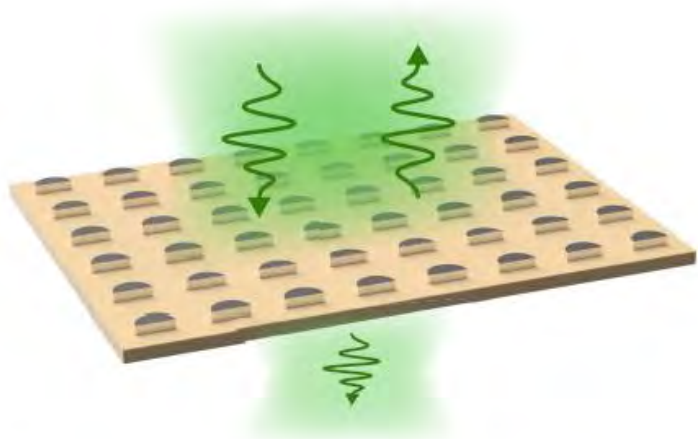
Metasurfaces



Expanding world of metasurfaces



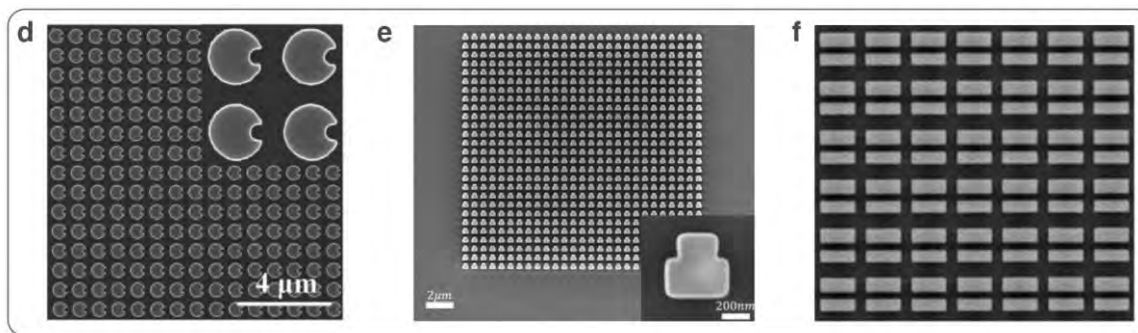
High-Q quasi-BIC metasurfaces



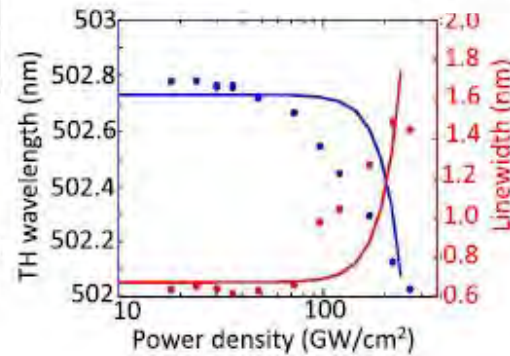
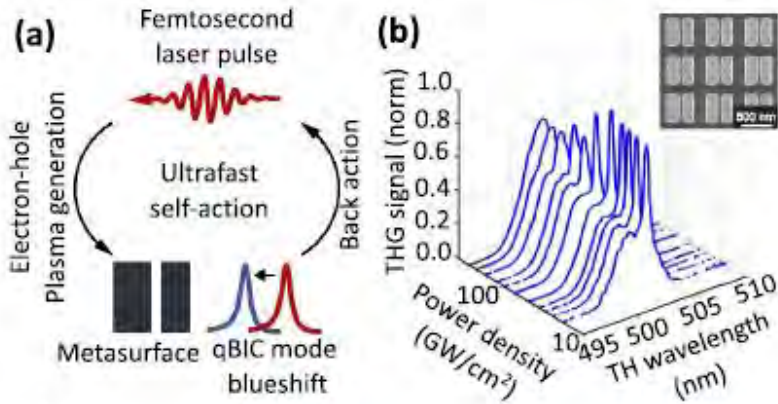
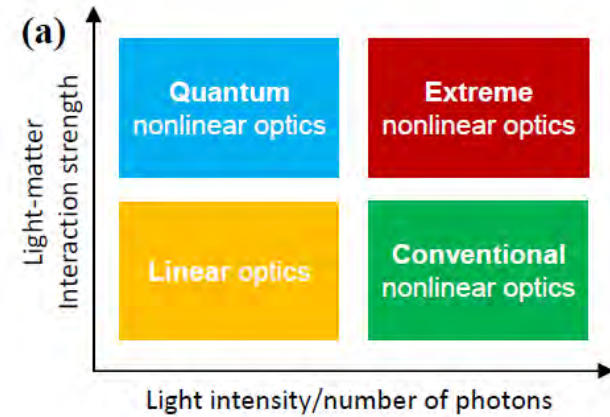
PHYSICAL REVIEW LETTERS **121**, 193903 (2018)

Asymmetric Metasurfaces with High- Q Resonances Governed by Bound States in the Continuum

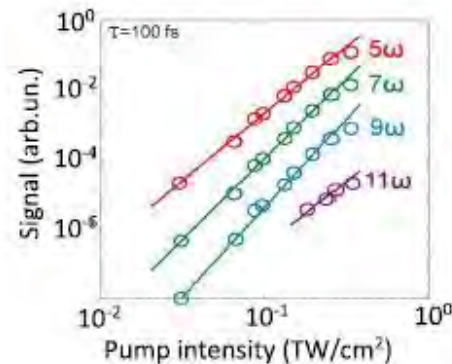
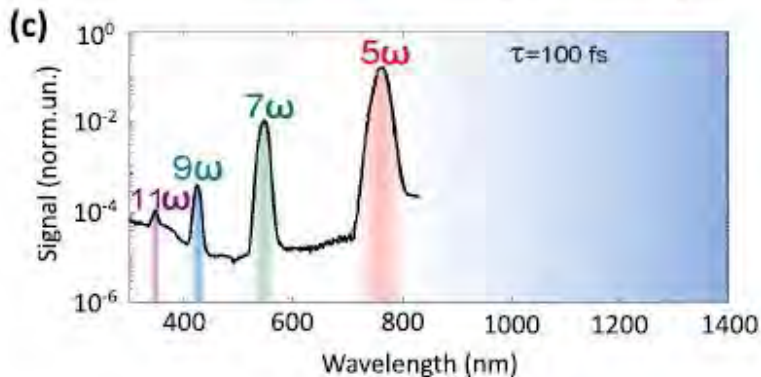
Kirill Koshelev,^{1,2} Sergey Lepeshov,² Mingkai Liu,¹ Andrey Bogdanov,² and Yuri Kivshar^{1,2}



Extreme nonlinear optics



Nano Letters
21, 8848 (2021)

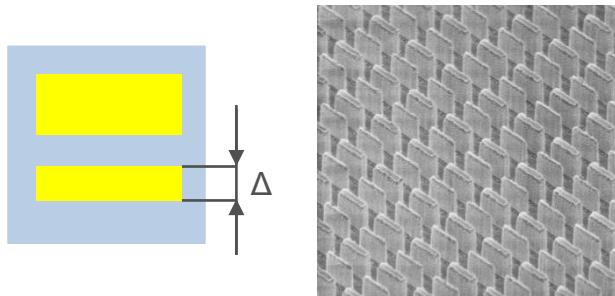


ACS Photonics
9, 567 (2022)

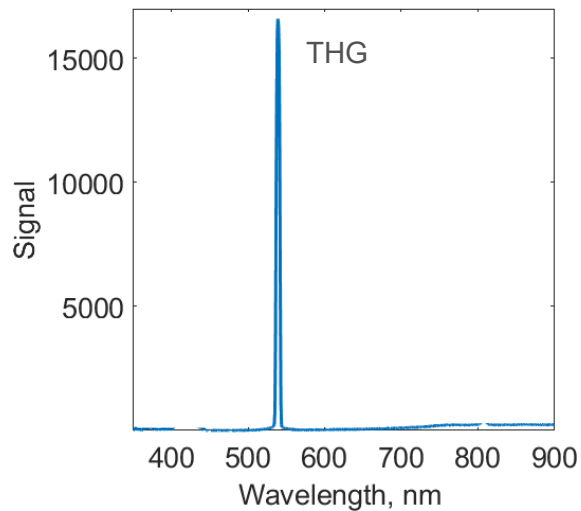
Expected enhanced THG

$$\mathbf{P}(t) = \epsilon_0 \left(\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots \right),$$

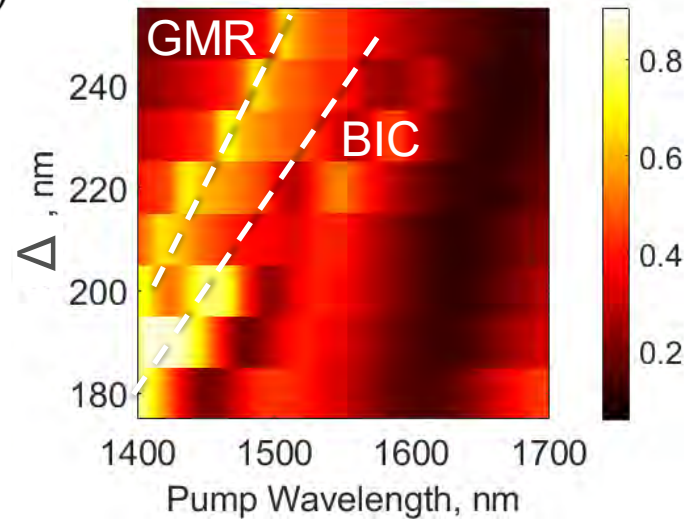
a-Si metasurface



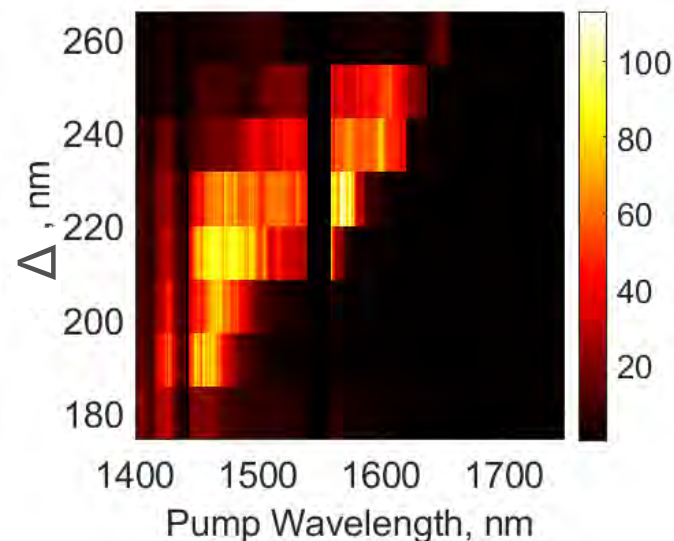
BIC resonance at 1630 nm



Linear reflection



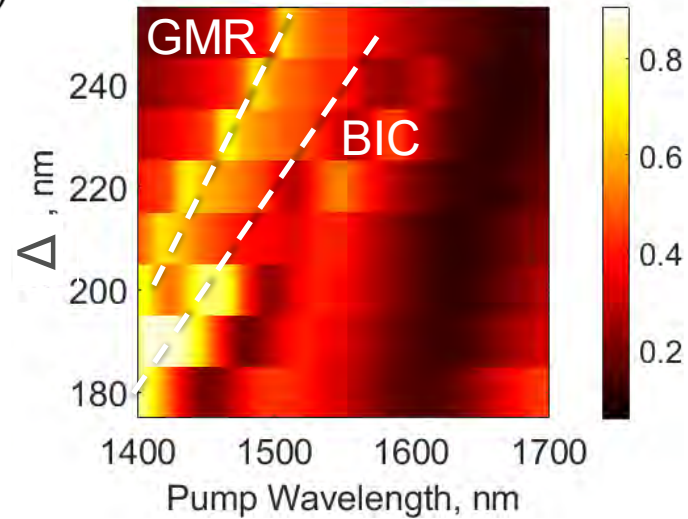
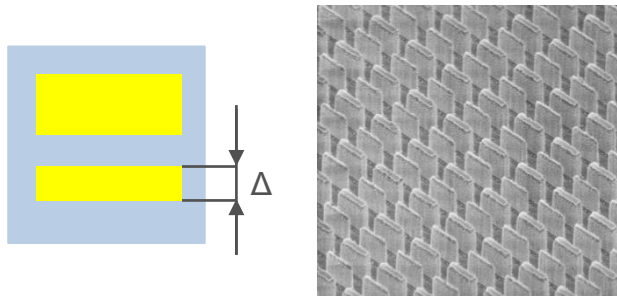
THG enhancement



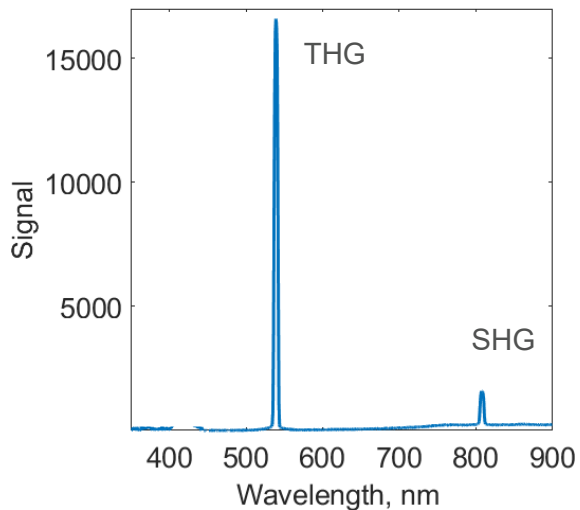
Unexpectedly large SHG

$$\mathbf{P}(t) = \epsilon_0 \left(\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots \right),$$

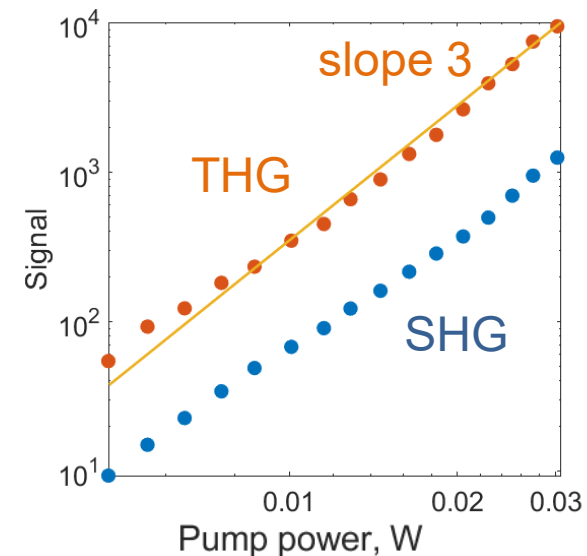
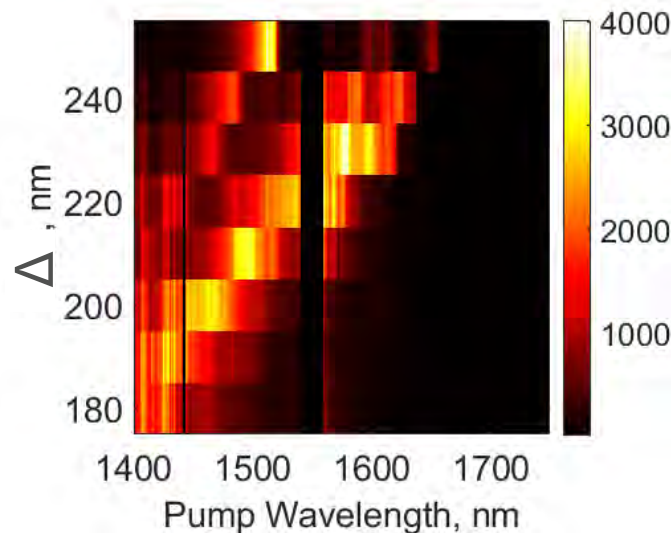
a-Si metasurface



BIC resonance at 1630 nm



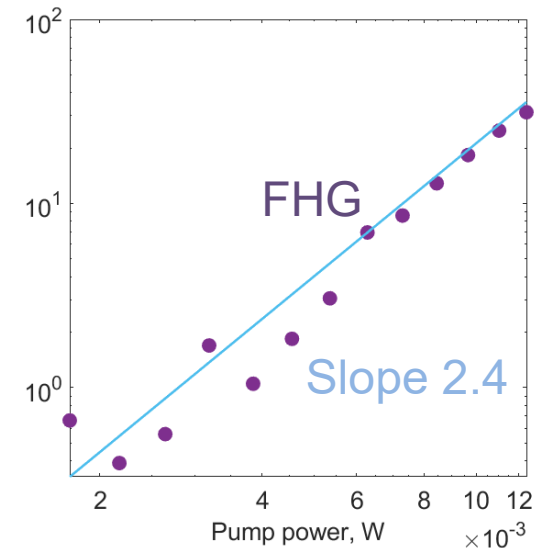
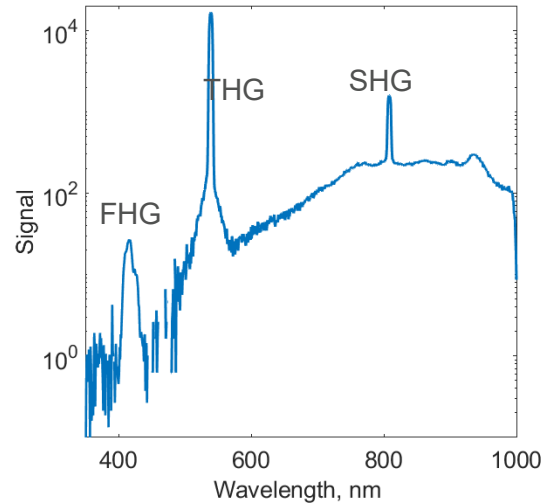
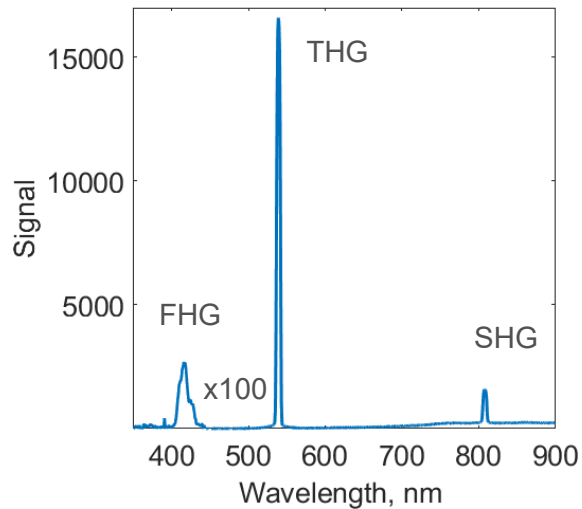
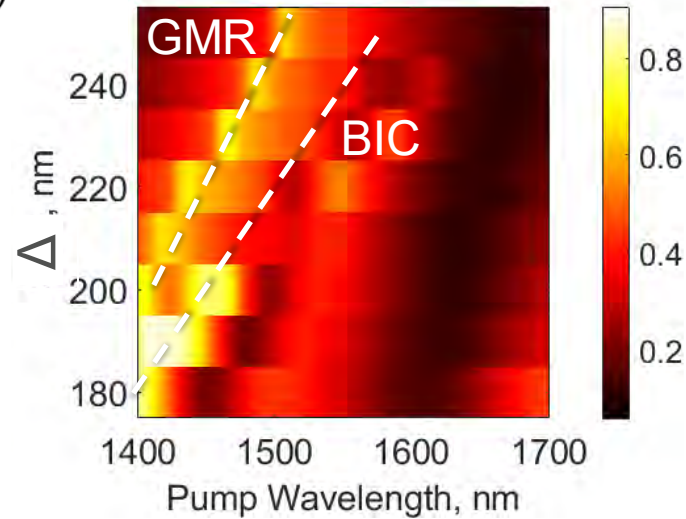
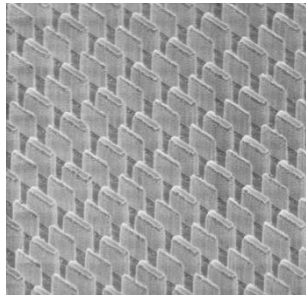
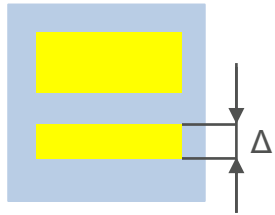
SHG enhancement



And even fourth harmonics !

$$\mathbf{P}(t) = \epsilon_0 \left(\chi^{(1)} \mathbf{E}(t) + \chi^{(2)} \mathbf{E}^2(t) + \chi^{(3)} \mathbf{E}^3(t) + \dots \right),$$

a-Si metasurface



Take-home messages

- ❑ The study of metamaterials is an active field that now often appears under new brand names of **meta-optics** or **metaphotonics**
- ❑ Recent advances in meta-optics and metaphotonics are associated with the physics of resonances (including but not limited by) **Mie resonances** and **quasi bound states in the continuum**
- ❑ Metasurfaces and subwavelength dielectric particles may exhibit strong **nonlinear effects** including exotic and high-harmonic generation

